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| 1<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>12  | STACEY P. GEIS, SB No. 181444<br>sgeis@earthjustice.org<br>COLIN C. O'BRIEN, SB No. 309413<br>cobrien@earthjustice.org<br>ANNA K. STIMMEL, SB No. 322916<br>astimmel@earthjustice.org<br>MARIE E. LOGAN, SB No. 308228<br>mlogan@earthjustice.org<br>EARTHJUSTICE<br>50 California Street, Suite 500<br>San Francisco, CA 94111<br>Tel. (415) 217-2000 / Fax. (415) 217-2040<br>Attorneys for Proposed Defendant-Intervenors<br>Sierra Club and San Francisco Baykeeper<br>DANIEL P. SELMI, SB No. 67481<br>dselmi@aol.com<br>919 Albany Street<br>Los Angeles, CA 92662<br>Tel. (213) 736-1098 / Fax. (949) 675-9871<br>Attorney for Proposed Defendant-Intervenor<br>Sierra Club |   |   |                                   |
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| 14<br>15   | UNITED STATES I<br>FOR THE NORTHERN DIS  |   |   |                                   |
| <ol> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> <li>27</li> <li>28</li> </ol> | PHILLIPS 66 COMPANY,<br>Plaintiff,<br>v.<br>CITY OF RICHMOND; CITY COUNCIL OF<br>THE CITY OF RICHMOND,<br>Defendants,<br>and<br>SIERRA CLUB and SAN FRANCISCO<br>BAYKEEPER,<br>Proposed Defendant-Intervenors.<br>MOTION AND MEMORANDUM IN SU  | SIERRA CLU<br>BAYKEEPE<br>MOTION TO<br>MEMORAN<br>MOTION, AI<br>RULE 12(b)(<br>Hearing: Au<br>Time: 2:0<br>Place: Co<br>130 | -cv-01643-YGR<br>UB AND SAN FRA<br>R'S NOTICE OF M<br>D INTERVENE,<br>DUM IN SUPPOR'<br>ND REQUEST TO<br>6) MOTION TO D<br>gust 4, 2020<br>(0 p.m.<br>urtroom 1<br>01 Clay Street, Oakl | MOTION,<br>T OF<br>FILE<br>ISMISS |
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## NOTICE OF MOTION AND MOTION

NOTICE IS HEREBY GIVEN, pursuant to Civil Local Rule 7-2, that on August 4, 2020, at 2:00 p.m., or as soon thereafter as the matter may be heard, in the courtroom of the Honorable Yvonne Gonzalez Rogers, at the United States Courthouse, 1301 Clay Street, Oakland, CA 94612, Sierra Club and San Francisco Baykeeper, by counsel, will move the Court for leave to intervene as defendant-intervenors in the above-entitled action.

Pursuant to Federal Rule of Civil Procedure 24, Sierra Club and San Francisco Baykeeper respectfully move to intervene as defendant-intervenors in the above-captioned case. Counsel for Plaintiff Phillips 66 Company have been consulted; Plaintiff is reserving its position pending review of this motion, however, it anticipates opposing this motion. Defendants City of Richmond and City Council of the City of Richmond do not oppose intervention. This motion is supported by the accompanying Memorandum; Declarations of Avi Atid, Minda Berbeco, Sejal Choksi-Chugh, Elizabeth Dortch, Aaron Isherwood, Colin O'Brien, and Jess Parker; a Proposed Motion to Dismiss;<sup>1</sup> and such oral argument as the Court may allow.

WHEREFORE, Sierra Club and San Francisco Baykeeper pray that the Court grant the instant motion, and thereby grant Sierra Club and San Francisco Baykeeper leave to intervene as defendants in this action.

In addition, if intervention is granted, Sierra Club and San Francisco Baykeeper further request that the Court accept their Rule 12(b)(6) Motion to Dismiss lodged concurrently with this motion.

<sup>&</sup>lt;sup>1</sup> Federal Rule of Civil Procedure 24 requires an intervention motion "be accompanied by a pleading" 23 that sets out the claim or defense for which intervention is sought." Fed. R. Civ. P. 24(c). To comply with this requirement, Sierra Club and San Francisco Baykeeper are filing, contemporaneously with 24 this motion, a proposed motion to dismiss, which addresses their position on each of the claims in the Complaint (ECF No. 1). See Beckman Indus., Inc. v. Int'l Ins. Co., 966 F.2d 470, 474 (9th 25 Cir.1992) ("Courts, including [the Ninth Circuit], have approved intervention motions without a [Fed. R. Civ. P. 7(a)] pleading where the court was otherwise apprised of the grounds for the 26 motion."]; see also Shores v. Hendy Realization Co., 133 F.2d 738, 742 (9th Cir. 1943) (noting that Rule 24(c) is satisfied where the intervening parties joined in filing a petition with an existing party); 27 Smith v. Pangilinan, 651 F.2d 1320, 1325-26 (9th Cir. 1981) (intervenor's statement in motion papers satisfied Rule 24(c)); Westchester Fire Ins. Co. v Mendez, 585 F.3d 1183, 1188-89 (9th Cir. 28 2009) (same).

#### **MEMORANDUM IN SUPPORT**

## **I.** INTRODUCTION

Proposed Defendant-Intervenors Sierra Club and San Francisco Baykeeper (collectively, "Proposed Intervenors") request the Court grant them leave to intervene as of right, or in the alternative, permission to intervene, in the above-captioned case. Proposed Intervenors seek to protect their significant interests in the validity of Richmond Ordinance No. 05-20 N.S., which prohibits the handling and storage of coal or petroleum coke ("petcoke") at any facility in the City of Richmond. Proposed Intervenors have worked for years and devoted substantial resources to protect the health and environment of communities in Richmond. Because storage and handling of coal and petcoke results in fugitive emissions of particulate matter that are harmful to human health and the natural environment, they supported adoption of the Ordinance.

## II. BACKGROUND

The Levin-Richmond Terminal ("Terminal") is located at 402 Wright Avenue in the City of Richmond and situated on the San Francisco Bay. Currently, it is the only facility in Richmond that stores and handles coal and petcoke. O'Brien Decl., Ex. 1 (City Agenda Report, Apr. 23, 2019) at 2. Coal is offloaded from railroad cars, stored in massive, uncovered stockpiles at the Terminal, and then later loaded onto ships that depart from the Terminal. *See* City Agenda Report, Feb. 4, 2020 at 2, ECF No. 21-2. Similarly, petcoke is offloaded from trucks, stored in massive, uncovered piles at the Terminal, and later loaded on to ships that depart from the Terminal. *See id*.

Uncovered coal and petcoke stockpiles emit particulate matter (PM<sub>10</sub>) and fine particulate matter (PM<sub>2.5</sub>) when exposed to wind. *See* Ordinance No. 05-20 N.S. at 1, ECF No. 21-1 (hereafter "Ordinance"); O'Brien Decl., Ex. 2 (EPA petcoke webpage) at 1. Particulate matter and fine particulate matter are also released when coal and petcoke are unloaded from railroad cars or trucks and transported to storage piles or transported from storage piles and loaded onto ships. *See* Ordinance at 1. Fine particulate matter is so small that it is invisible to the human eye. O'Brien Decl., Ex. 3 (EPA PM webpage), at 1. As a point of comparison, the average human hair is about seventy micrometers in diameter, meaning the diameter of the largest PM<sub>2.5</sub> particle is approximately thirty times smaller. *Id.* at 1-2.

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Both PM<sub>10</sub> and PM<sub>2.5</sub> can easily pass through the throat and nose and "[o]nce inhaled, these particles can affect the heart and lungs and cause serious health effects." O'Brien Decl., Ex. 2 (EPA petcoke webpage) at 1; *see also* Ordinance at 1. Owing to their extremely small size, PM<sub>2.5</sub> can penetrate deep into the lungs and even into the bloodstream, thus posing "the greatest risk to health." O'Brien Decl., Ex. 3 (EPA PM webpage) at 2. The U.S. Environmental Protection Agency ("EPA") has found "[a]n extensive body of scientific evidence indicates that breathing in PM<sub>2.5</sub> over the course of hours to days (short-term exposure) and months to years (long-term exposure) can cause serious public health effects ....." O'Brien Decl., Ex. 4 (EPA factsheet) at 1. These serious public health effects include premature death, aggravation of respiratory and cardiovascular disease, and changes in lung function. 72 Fed. Reg. 20,586, 20,586-87 (Apr. 25, 2007). Health consequences also include asthma attacks, chronic respiratory disease, harmful developmental and reproductive effects, and cancer. O'Brien Decl., Ex. 4 (EPA factsheet) at 2.

According to EPA, even "[s]hort-term exposure (from less than 1 day up to several days) to PM<sub>2.5</sub> is likely causally associated with mortality from cardiopulmonary diseases, increased hospitalization and emergency department visits for cardiopulmonary diseases, increased respiratory symptoms, decreased lung function, and changes in physiological indicators for cardiovascular health." 72 Fed. Reg. 54,112, 54,128 (proposed Sept. 21, 2007). EPA and other scientific authorities have concluded that there is no safe level of PM<sub>2.5</sub> exposure. *See, e.g.*, 78 Fed. Reg. 3086, 3098 (Jan. 15, 2013) (stating that "no population threshold, below which it can be concluded with confidence that PM<sub>2.5</sub>-related effects do not occur, can be discerned from the available evidence"); *United States v. Westvaco Corp.*, No. MJG-00-2602, 2015 WL 10323214, at \*9 (D. Md. Feb. 26, 2015) ("majority scientific consensus . . . is that the harm from exposure to PM<sub>2.5</sub> is linear, and there is no known threshold below which PM<sub>2.5</sub> is not harmful to human health").

Though healthy adults may experience temporary symptoms from exposure to elevated levels of PM<sub>2.5</sub>, "[p]eople most at risk from particle pollution include people with diseases that affect the heart or lung (including asthma), older adults, children, and people of lower socioeconomic status." O'Brien Decl., Ex. 4 (EPA factsheet), at 1. "[P]regnant women, newborns, and people with certain

health conditions, such as obesity or diabetes, also may be at increased risk of PM-related health effects." *Id.* 

In addition to causing health issues due to impacts on air quality, fugitive coal and petcoke dust also have other serious effects. For example, they negatively impact the environment both by adjacent polluting waterways and contaminating sensitive habitats. *See* Ordinance at 1.

The City has received complaints from members of the community who live and work near the Terminal regarding fugitive coal dust. *Id.*; City Agenda Report, Feb. 4, 2020 at 1, 2. Concerned about an increase in volume in coal stored and handled at the Terminal and the health impacts of particulate matter emissions from coal and petcoke, the Richmond City Council began considering ways to protect residents and visitors from fugitive coal and petcoke dust emissions from the Terminal at least as early as May 2018. *See* Isherwood Decl., Ex. 1 at 1; Choksi-Chugh Decl. ¶ 15a. In 2018, Mayor Tom Butt facilitated a study of dust samples collected by Richmond residents, and five out of the seven samples tested positive for coal. City Agenda Report, Feb. 4, 2020 at 2. On December 18, 2018, the City Council referred a draft ordinance banning the storage and handling of coal and petcoke introduced by Councilmember Martinez to City staff. The Council requested that the staff return an ordinance "at least as strong" as the draft ordinance. *Id*.

On July 18, 2019, the City of Richmond's Planning Commission held a hearing to consider whether to recommend adoption of the proposed land use ordinance. *Id.* Through written and oral testimony, Proposed Intervenors supported the City staff's position that the Planning Commission recommend adoption of the proposed ordinance. *See* Isherwood Decl. ¶ 4, Ex. 2; Berbeco Decl. ¶ 11; Choksi-Chugh Decl. ¶ 15d. The Planning Commission voted against recommending adoption of the proposed ordinance, recommending instead that the City wait for more studies. *See* City Agenda Report, Feb. 4, 2020 at 11. On December 3, 2019, the City Council held a hearing on the proposed ordinance. *See* Ordinance at 2. On January 14, 2020, the City Council voted to pass the ordinance on its first reading. O'Brien Decl. Ex. 5 (Richmond City Council Meeting Minutes, Jan. 14, 2020) at 7.

On February 4, 2020, the Richmond City Council enacted the Ordinance. Ordinance at 5. The
Ordinance reflects the City Council's determination that the "ordinance is necessary for public
health and safety as it will reduce particulate matter emissions and toxic exposure from coal and

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petroleum coke storage, thus promoting clean air and reducing the pollution burdens borne disproportionately by individuals living and working near certain industrial areas." Ordinance at 4.

Plaintiff Phillips 66 Company filed this action on March 4, 2020. Compl., ECF No. 1. The Complaint's contentions allege that the Ordinance violates the Commerce Clause, U.S. Const. art. I, § 8, and that it illegally impairs contractual relations, U.S. Const., Art. 1, § 10. Compl. at ¶¶ 40-53, ECF No. 1.

Proposed Intervenor Sierra Club is a nonprofit environmental organization that supported adoption of the Ordinance. Sierra Club is a national organization of nearly 778,000 members, including more than 165,000 members in California. Berbeco Decl. ¶ 2. Sierra Club is dedicated to exploring, enjoying, and protecting the wild places of the earth; to practicing and promoting the responsible use of the earth's ecosystems and resources; to educating and enlisting humanity to protect and restore the quality of the natural and human environment; and to using all lawful means to carry out these objectives. Berbeco Decl. ¶ 2. Consistent with its mission, Sierra Club is committed to stopping the many environmental and human health impacts associated with coal and fossil fuels. Berbeco Decl. ¶ 2.

Sierra Club is a grassroots, volunteer-led organization that works with local communities to advocate in various ways to reduce industrial pollution and protect public health. Berbeco Decl. ¶¶ 3, 5. These volunteer leaders and members have a connection to the community which allows Sierra Club to work alongside other community members to address their concerns. Berbeco Decl. ¶ 5.

Sierra Club members live, work, and recreate in Richmond near the Terminal. Berbeco Decl. ¶¶ 2, 7; Atid Decl. ¶¶ 3, 6; Dortch Decl. ¶¶ 2, 9, 11. Pollution from the Terminal directly affects them, and they have an interest in ensuring the safety and health of their community. Berbeco Decl. ¶¶ 2, 6, 7, 8; Atid Decl. ¶¶ 12-15; Dortch Decl. ¶¶ 10, 11, 13, 15. Sierra Club advocated for and supported the Ordinance by meeting with community members to discuss their concerns about coal and petcoke dust from the Terminal and consider opportunities to advocate for their phase-out. Berbeco Decl. ¶ 8. Club members met with the Bay Area Air Quality Management District ("BAAQMD") to discuss coal and air quality issues in Richmond. Berbeco Decl. ¶ 9. Sierra Club sent letters to the City supporting proposed bans on the storage and handling of petcoke, participated

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in numerous calls and meetings with City Council members to discuss the Ordinance, and attended and testified at City Council and Planning Commission hearings. Berbeco Decl. ¶¶ 10-11, Isherwood Decl. ¶¶ 3-7, Exs. 1-5 (letters). They also collected and facilitated the testing of dust samples for coal and petcoke, engaged in phone banking, and spoke with the media. Berbeco Decl. ¶¶ 12-17.

Proposed Intervenor San Francisco Baykeeper ("Baykeeper") is a regional nonprofit organization that also supported the Ordinance. Baykeeper is dedicated to protecting the San Francisco Bay-Delta estuary for the benefit of its ecosystems and the surrounding human communities. Choksi-Chugh Decl. ¶ 6, 25-26. As part of this goal, Baykeeper works to ensure that state and federal environmental laws are implemented and enforced. Choksi-Chugh Decl. ¶ 7. Baykeeper has a lengthy history of working on water quality issues in and around Richmond. That history includes initiating an enforcement action to reduce sewage discharges into the San Francisco Bay, bringing citizen enforcement actions under the Clean Water Act against multiple industrial facilities in Richmond for illegally discharging pollutants into the Bay, and advocating for safer limits on the amount of toxic selenium allowed into the Bay from Richmond's Chevron refinery. Choksi-Chugh Decl. ¶ 7, 23a-d; see also id. ¶ 24-28. In 2011, Baykeeper members observed dust from large piles of coal at the Terminal blowing into the Bay. Choksi-Chugh Decl. ¶ 10. Baykeeper discovered that the Terminal's methods of storing and handling coal, petcoke, and other materials allowed toxic materials to be washed and blown into the Bay. Consequently, in 2012, it filed a successful lawsuit under the Clean Water Act that stopped some of the pollution from the Terminal, although it did not address many other health, air, and water quality impacts. Choksi-Chugh Decl. ¶¶ 11-12.

Baykeeper has over 5,000 members and supporters who primarily reside in the San Francisco Bay Area, most of whom have longstanding and ongoing personal interests in the mission of the organization because they live, work, and recreate in or around the San Francisco Bay. Choksi-Chugh Decl. ¶ 8; Parker Decl. ¶¶ 8, 16-17, 23-25. Baykeeper's members also live, work, and recreate in Richmond near the Terminal, and have an interest in ensuring that their community can be a safe and healthy place. Choksi-Chugh Decl. ¶¶ 9, 18, 20-22; Parker Decl. ¶¶ 8, 15-16, 23-25. Baykeeper advocated for and supported the Ordinance by submitting written comments and letters,

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1 || participating in and testifying at public hearings before the City Council, vetting draft ordinances,

2 and educating Council members on the impacts of coal and petcoke on public health and safety and

 $3 \parallel$  on the Bay. Choksi-Chugh Decl. ¶ 15a-i.

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# III. STANDARDS FOR INTERVENTION

The Ninth Circuit has established a four-part test for deciding applications for intervention as of right under Federal Rule of Civil Procedure 24(a):

(1) the motion must be timely; (2) the applicant must claim a "significantly protectable" interest relating to the property or transaction which is the subject of the action; (3) the applicant must be so situated that the disposition of the action may as a practical matter impair or impede its ability to protect that interest; and (4) the applicant's interest must be inadequately represented by the parties to the action.

Wilderness Soc'y v. U.S. Forest Serv., 630 F.3d 1173, 1177 (9th Cir. 2011) (quoting Sierra Club v.
U.S. Envtl. Prot. Agency, 995 F.2d 1478, 1481 (9th Cir. 1993)). If an applicant meets these standards, they must be permitted to intervene. Yniguez v. Ariz., 939 F.2d 727, 731 (9th Cir. 1991) (citing Sagebrush Rebellion, Inc. v. Watt, 713 F.2d 525, 527 (9th Cir. 1983)). An applicant need not separately establish Article III standing. See Va. House of Delegates v. Bethune-Hill, 139 S. Ct. 1945, 1951-52 (2019).

Rule 24(a) is construed "broadly in favor of proposed intervenors," taking into account "practical and equitable considerations." *United States v. City of Los Angeles*, 288 F.3d 391, 397 (9th Cir. 2002) (citations omitted). Rule 24(a) does not require a specific legal or equitable interest, and "the 'interest' test is primarily a practical guide to disposing of lawsuits by involving as many apparently concerned persons as is compatible with efficiency and due process." *Fresno Cnty. v. Andrus*, 622 F.2d 436, 438 (9th Cir. 1980) (quoting *Nuesse v. Camp*, 385 F.2d 694, 700 (D.C. Cir. 1967)). The allegations of a proposed intervenor must be credited "as true absent sham, frivolity or other objections." *Sw. Ctr. for Biological Diversity v. Berg*, 268 F.3d 810, 820 (9th Cir. 2001).

Additionally, under Rule 24(b)(1)(B), courts have "broad discretion" to grant permissive
intervention to applicants that, through a timely motion, assert a claim or defense that shares a
common question of law or fact with the principal action. *Orange Cnty. v. Air Cal.*, 799 F.2d 535,
539 (9th Cir. 1986) (citation omitted). In exercising its discretion, a court must consider whether
intervention will cause undue delay or prejudice existing parties. *See* Fed. R. Civ. P. 24(b)(1)(B)(3).

# **IV. ARGUMENT**

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For the following reasons, the Court should grant Proposed Intervenors intervention as of right under Federal Rule of Civil Procedure 24(a), or, in the alternative, the Court should grant permissive intervention under Rule 24(b).

## A. The Court should grant intervention as of right.

As detailed below, Proposed Intervenors satisfy the four-part test and are entitled to intervene as a matter of right. Their motion is timely, they have demonstrated they have a significantly protectable interest that may be impaired by this action, and they have made a compelling showing that the City "may not" adequately represent their interests.

1.

## The motion is timely.

A motion to intervene under Rule 24(a) must be timely. Fed. R. Civ. P. 24(a)(2). Timeliness is evaluated according to three factors: "(1) the stage of the proceeding at which an applicant seeks to intervene; (2) the prejudice to other parties; and (3) the reason for and length of the delay." *United States v. Alisal Water Corp.*, 370 F.3d 915, 921 (9th Cir. 2004) (quoting *Cal. Dep't of Toxic Substances Control v. Commercial Realty Projects, Inc.*, 309 F.3d 1113, 1119 (9th Cir. 2002)). When a motion is made "at an early stage of the proceedings," it follows that the motion will neither prejudice other parties nor delay the proceeding. *Citizens for Balanced Use v. Mont. Wilderness Ass'n*, 647 F.3d 893, 897 (9th Cir. 2011).

Proposed Intervenors' motion is timely because this case is in its earliest stages. The Complaint was filed on March 4, 2020. ECF No. 1. This motion is being filed less than three months later, shortly after the Defendant City filed its first responsive pleading. No discovery has occurred and the first Case Management Conference has not yet happened. No substantive matters have been heard or ruled upon.

Because this motion is filed in the earliest stages of this action, the motion is timely and granting intervention will neither prejudice other parties nor cause delay. As the Ninth Circuit has explained: "the parties would not have suffered prejudice from the grant of intervention at that early stage, and intervention would not cause disruption or delay in the proceedings." *Citizens for Balanced Use*, 647 F.3d at 897 (finding motion timely when filed three months after the complaint

and less than two weeks after defendant filed its answer); *Idaho Farm Bureau Fed'n v. Babbitt*, 58
F.3d 1392, 1397 (9th Cir. 1995) (holding motion timely when filed four months after complaint and two months after answer, but "before any hearings or rulings on substantive matters"); *Natural Res. Def. Council v. McCarthy*, No. 16-cv-02184-JST, 2016 WL 6520170, at \*3 (N.D. Cal. Nov. 3, 2016)
(finding motion timely when filed before answer and "any substantive orders").

# 2. Proposed Intervenors have protectable interests relating to the validity of the Ordinance.

Proposed Intervenors satisfy the second element of intervention as of right because they have multiple "significantly protectable" interests related to the issues that are the subjects of this action. *Wilderness Soc'y*, 630 F.3d at 1177. The interest test is a threshold question and "does not require a specific legal or equitable interest." *Id.* at 1179. Nor does it require that the asserted interest be protected by the statutes under which litigation is brought. *Id.* Instead, "the operative inquiry should be whether the 'interest is protectable under some law' and whether 'there is a relationship between the legally protected interest and the claims at issue." *Id.* at 1180 (quoting *Sierra Club*, 995 F.2d at 1484). "[I]f the resolution of the plaintiff's claims actually will affect the applicant," the relationship requirement is met. *Donnelly v. Glickman*, 159 F.3d 405, 410 (9th Cir. 1998); *see also California ex rel. Lockyer v. United States*, 450 F.3d 436, 441 (9th Cir. 2006) (An applicant for intervention satisfies the interest test "if it will suffer a practical impairment of its interests as a result of the pending litigation.").

# a. Proposed Intervenors' members are precisely those individuals the Ordinance was enacted to protect.

Proposed Intervenors have a protectable interest in this case because their members are "the intended beneficiaries of this law." *California ex rel. Lockyer*, 450 F.3d at 441. Public interest groups have a protectable interest in litigation when the underlying action challenges a legislative measure that was intended to protect their members. *Andrus*, 622 F.2d at 438–39 (finding a protectable interest for public interest groups where "[t]he individual members . . . are precisely those Congress intended to protect . . . and precisely those who will be injured" if the challenged law were invalidated). For environmental groups seeking to intervene to defend a law, "[i]t is enough

that the [groups'] members benefit from the challenged legislation by way of improved air quality and health." *Cal. Dump Truck Owners Ass'n v. Nichols*, 275 F.R.D. 303, 307 (E.D. Cal. 2011).

Here, Proposed Intervenors' members are precisely those individuals whom the Ordinance was designed to protect, and they are precisely those who will be injured if the Ordinance is invalidated. The Richmond City Council determined that the "ordinance is necessary for public health and safety as it will reduce particulate matter emissions and toxic exposure from coal and petroleum coke storage, thus promoting clean air and reducing the pollution burdens borne disproportionately by individuals living and working near certain industrial areas." Ordinance at 4. Proposed Intervenors' members fall well within that sphere of protection, as they are community members, including residents of Richmond and people who regularly visit and work in the vicinity of the Terminal, and who are disproportionately exposed to coal and petcoke emissions. Berbeco Decl. ¶ 2, 7; Atid Decl. ¶ 3, 6; Dortch Decl. ¶ 2, 11; Choksi-Chugh Decl. ¶ 9, 16-22; Parker Decl. ¶¶ 8, 15-16, 23-25. Proposed Intervenors' members have observed black dust on surfaces where they live and work, and that dust has tested positive for coal and petcoke. Dortch Decl. ¶¶ 7-8, 11; Atid Decl. ¶ 7-9. As a result, they are understandably concerned about the health impacts from exposure to fugitive coal and petcoke dust from the Terminal. Dortch Decl. ¶¶ 10, 14-15; Atid Decl. ¶ 10, 12, 15; Berbeco Decl. ¶ 6, 8; Parker Decl. ¶ 12, 21, 25-26. Because Proposed Intervenors' members will continue to be exposed to coal and petcoke dust if the Ordinance is invalidated, the resolution of this case will unquestionably affect them. See Atid Decl. ¶ 14: Dortch Decl. ¶ 14: Parker Decl. ¶ 25-26. Thus, Proposed Intervenors and their members are the intended beneficiaries of the Ordinance and accordingly have a protectable interest implicated in this litigation.

# b. Proposed Intervenors supported passage of the Ordinance and participated throughout the decision-making process.

Proposed Intervenors have an interest in this litigation because they worked extensively to secure the passage of the Ordinance. When a public interest group has been involved in a decision-making process that leads to the litigation, it satisfies the protectable interest prong for intervention as of right. *Idaho Farm Bureau Fed'n*, 58 F.3d at 1397 (citations omitted) ("A public interest group is entitled as a matter of right to intervene in an action challenging the legality of a measure it has

supported."). For example, in *Sagebrush Rebellion, Inc. v. Watt*, the Audubon Society was entitled to intervene in an action challenging the creation of a conservation area the Society had supported. 713 F.2d at 527-28. The Society had actively participated in the administrative process surrounding the designation of the conservation area, and based on that participation, the Ninth Circuit held that "there can be no serious dispute in this case concerning . . . the existence of a protectable interest on the part of the applicant." *Id.* at 528; *accord Idaho Farm Bureau Fed'n*, 58 F.3d at 1397–98 (finding environmental groups that were active in the administrative process leading to endangered species listing were entitled to intervene in litigation seeking to invalidate listing).

Proposed Intervenors vigorously supported and advocated for the passage of the Ordinance that this suit challenges. They held regular meetings over several years with community members concerned about health impacts from the coal and petcoke dust emitted from the Terminal. Berbeco Decl. ¶ 8. At these meetings, community members expressed their concerns about coal and petcoke dust, and they discussed how to advocate for the phasing out of coal and petcoke storage and handling at the Terminal. *See* Berbeco Decl. ¶ 8. Proposed Intervenors and their members have advocated for the ban of storage and handling of coal and petcoke in Richmond, including by sending letters and giving testimony at City Council hearings as the Ordinance was considered. Dortch Decl. ¶¶ 13-14; Atid Decl. ¶ 14; Berbeco Decl. ¶¶ 6-12; Choksi-Chugh Decl. ¶¶ 13-15. Proposed Intervenors even helped collect coal and petcoke samples from areas near the Terminal to show the need for the Ordinance. Berbeco Decl. ¶¶ 13-17; Dortch Decl. ¶8; Atid Decl. ¶ 9. As both champions and direct beneficiaries of the Ordinance, Proposed Intervenors have demonstrated a protectable interest in this suit that challenges the Ordinance's validity.

# c. Proposed Intervenors' environmental concerns constitute a legally protectable interest.

Lastly, Proposed Intervenors' concern for the environment constitutes an independent protectable interest sufficient to support intervention. *See Citizens for Balanced Use*, 647 F.3d at 897 ("Applicants have a significant protectable interest in conserving and enjoying the wilderness character of the Study Area . . . ."); *United States v. Carpenter*, 526 F.3d 1237, 1240 (9th Cir. 2008) ("[I]ntervenors were entitled to intervene because they had the requisite interest in seeing that the

wilderness area be preserved for the use and enjoyment of their members."); *see also WildEarth Guardians v. Nat'l Park Serv.*, 604 F.3d 1192, 1198 (10th Cir. 2010) (stating it is "indisputable' that
a prospective intervenor's environmental concern is a legally protectable interest") (citation
omitted).

Proposed Intervenors are environmental and public health advocacy organizations with specific, demonstrated, and longstanding interests in protecting and improving air quality in the City of Richmond, and in preserving the water quality of the San Francisco Bay. Berbeco Decl. ¶¶ 2-3, 5; Choksi-Chugh Decl. ¶¶ 6-7, 25-26. In addition, Proposed Intervenors have an interest in "conserving and enjoying" the environment surrounding the Terminal site. *Citizens for Balanced Use*, 647 F.3d at 897. Proposed Intervenors' members recreate in and enjoy that surrounding environment. Berbeco Decl. ¶¶ 2, 7; Choksi-Chugh Decl. ¶¶ 8-9, 20-22; Dortch Decl. ¶11; Parker Decl. ¶¶ 15-16, 23-25, 27. Their use and enjoyment of this area will be harmed if the Ordinance is invalidated and coal and petcoke continue to be stored and handled in Richmond. Berbeco Decl. ¶ 7; Choksi-Chugh Decl. ¶¶ 20-22; Parker Decl. ¶¶ 23-27.

# **3.** The disposition of this case would impair Proposed Intervenors' ability to protect their interests.

Rule 24(a) requires intervenors to show that "disposing of the action may as a practical matter impair or impede the movant's ability to protect its interest." Fed. R. Civ. P. 24(a)(2). If a proposed intervenor "would be substantially affected in a practical sense by the determination made in an action, he should, as a general rule, be entitled to intervene." *Sw. Ctr. for Biological Diversity*, 268 F.3d at 822 (quoting Fed. R. Civ. P. 24 advisory committee's notes). This inquiry "presents a minimal burden," *WildEarth Guardians*, 604 F.3d at 1199, and a determination of impairment tends to follow once intervenors have satisfied the interest test's inquiry into whether the applicant "will suffer a practical impairment of its interests as a result of the pending litigation." *California ex rel. Lockyer*, 450 F.3d at 441-442 ("Having found that appellants have a significant protectable interest, we have little difficulty concluding that the disposition of this case may, as a practical matter, affect it.").

As described above, an adverse decision in this case would impair Proposed Intervenors' ability to protect their interests, in particular, the health of their own members as well as public health generally in Richmond. Consequently, Proposed Intervenors have satisfied this third requirement for intervention as of right.

# 4. **Proposed Intervenors' interests are not adequately represented by** existing parties.

Proposed Intervenors should be granted intervention as of right because their interests diverge from the City's such that the City may not adequately represent their interests and they will provide necessary elements to the litigation that the City will not. The three factors a court must consider in determining whether a proposed intervenor's interests are adequately represented by existing parties are: "(1) whether the interest of a present party is such that it will undoubtedly make all of a proposed intervenor's arguments; (2) whether the present party is capable and willing to make such arguments; and (3) whether a proposed intervenor would offer any necessary elements to the proceeding that other parties would neglect." *Arakaki v. Cayetano*, 324 F.3d 1078, 1086 (9th Cir. 2003). "The burden on proposed intervenors in showing inadequate representation is minimal, and would be satisfied if they could demonstrate that representation of their interests '*may* be' inadequate." *Id.* (citing *Trbovich v. United Mine Workers of Am.*, 404 U.S. 528, 538 n.10 (1972) (emphasis added)); *see also Citizens for Balanced Use*, 647 F.3d at 900 ("intervention of right does not require an absolute certainty that … existing parties will not adequately represent" a proposed intervenor's interests).

While some courts apply a rebuttable presumption of adequate representation when a proposed intervenor and a party have the same ultimate objective, or when the government is acting on behalf of its constituency, a "compelling showing" to the contrary rebuts the presumption. *Citizens for Balanced Use*, 647 F.3d at 898. Moreover, even when that presumption arises, the Ninth Circuit has "emphasize[d] that the burden of showing inadequacy of representation is generally minimal . . . ." *Prete v. Bradbury*, 438 F.3d 949, 959 (9th Cir. 2006). Ultimately, "[t]he most important factor in assessing the adequacy of representation is how the interest compares with the

interests of existing parties." *Citizens for Balanced Use*, 647 F.3d at 898 (internal quotation marks omitted).

or

#### a. The City's interests diverge from those of Proposed Intervenors such that the City may not make all of Proposed Intervenors' arguments.

Proposed Intervenors' interests diverge from the City's in significant ways such that the City not only may not, but cannot, adequately represent Proposed Intervenors' interests. Because of the City's divergent interests, Proposed Intervenors easily meet their minimal burden to show that the City may not "undoubtedly make all of a proposed intervenor's arguments" or may not be "capable and willing to make such arguments." *See Arakaki*, 324 F.3d at 1086.

# (1) **Proposed Intervenors' interests are narrower and more focused than the City's interests.**

The first significant way in which Proposed Intervenors' interests diverge from the City's interests is that Proposed Intervenors' interests are narrow and focused specifically on public health and environmental impacts. In contrast, the City must necessarily balance a much wider range of interests in its decision making. That balancing could readily influence and ultimately limit its defense of the Ordinance. For example, the City must represent all of its constituents and in doing so it inevitably pursues multiples goals. It must encourage economic growth, manage the City's finances, develop housing, maintain infrastructure, implement benefit programs, and attend to a host of other goals unrelated to public health. The City's obligations to balance and accommodate all of its competing interests prevent the City from solely focusing on the public health impacts of the Ordinance. Consequently, the City cannot adequately represent Proposed Intervenors' narrow, particularized interests, and could easily compromise them.

Courts have found that more focused interests of this type are sufficient to make a "compelling showing" of inadequate representation and to defeat any presumption of adequate representation. *Arakaki*, 324 F.3d at 1087 (citing Ninth Circuit precedent that "permit[s] intervention on the government's side [when] the intervenors' interests are narrower than that of the government and therefore may not be adequately represented"). The presumption of adequate representation is overcome when a government entity "is required to represent a broader view than the more narrow,

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parochial interests" of the proposed intervenor. *Forest Conservation Council v. U.S. Forest Serv.*, 66
F.3d 1489, 1499 (9th Cir. 1995), *abrogated on other grounds by Wilderness Soc'y*, 630 F.3d 1173; *see also Sw. Ctr. for Biological Diversity*, 268 F.3d at 823-24 (narrower interests of intervening developers defeated presumption of adequate representation by government defendants).

In *National Association of Home Builders v. San Joaquin Valley Unified Air Pollution District*, proposed intervenors argued that because their interests lay solely in the health of their members, the government, with its broader interests, may not adequately represent proposed intervenors' interests. No. 1:07cv0820 LJO DLB, 2007 WL 2757995, at \*5 (E.D. Cal. Sept. 21, 2007). The court agreed and explained that "[w]hile Proposed Interveners and the District share a general interest in public health, the District has a much broader interest in balancing the need for regulations with economic considerations" such that "it is not likely that the District will 'undoubtedly make all the intervener's arguments.'" *Id*. The situation here is similar, and this Court should reach the same conclusion.

Proposed intervenors are not required to anticipate and identify specific differences in arguments and strategy in advance. "It is sufficient for [proposed intervenors] to show that, because of the difference in interests, it is likely that [an existing party] will not advance the same arguments as [proposed intervenors]." *Sw. Ctr. for Biological Diversity*, 268 F.3d at 824; *but see Oakland Bulk & Oversized Terminal, LLC v. City of Oakland*, Case Nos. 18-16105, 18-16141, 2020 WL 2703707, at \*13 (May 26, 2020) (a proposed intervenor must provide some evidence that a government entity's "broader interest would lead it to stake out an undesirable legal position."). Here, it is likely that the City will not make all of Proposed Intervenors' arguments not only because their interests differ, but also because the City currently may not even be able to pursue all of its own priorities right now, much less those of Proposed Intervenors.

While the City is always under demands to protect a broad range of interests, it currently
faces exceptional challenges that may force it to choose other priorities over defending the
Ordinance as fiercely as Proposed Intervenors' interests require. Due to the current COVID-19
pandemic, the City is facing not only a public health crisis, but a major budget deficit. *See* Mike
Aldax, *Richmond Council Identifies Budget Cuts for Next Fiscal Year*, Richmond Standard (May 13,

2020), https://richmondstandard.com/richmond/2020/05/13/richmond-council-identifies-budget-2 cuts-for-next-fiscal-year/; Mayor Tom Butt, Budget Update from May 12 City Council Meeting 3 (May 13, 2020), http://www.tombutt.com/forum/2020/20-5-13.html. The Ninth Circuit has 4 acknowledged that evidence a government is facing budget constraints may be enough to overcome 5 the presumption of adequate representation. *Prete*, 438 F.3d at 958 n.10. The City's current budget 6 challenges are likely to affect this litigation as, even before the financial impacts of COVID-19 set 7 in, Richmond Mayor Tom Butt expressed concerns about the City's budget and its ability to defend 8 this lawsuit. See Annie Sciacca, Richmond Slammed with Multiple Federal, State Lawsuits Over Ban 9 on Coal and Petcoke, East Bay Times (March 13, 2020),

https://www.eastbaytimes.com/2020/03/13/richmond-slammed-with-multiple-federal-state-lawsuitsover-ban-on-coal-and-petcoke/.

Furthermore, the City's budget shortfalls may be particularly detrimental here where the City is up against the well-funded coal and petcoke and related industries. Plaintiff in this litigation has overwhelming resources at its disposal to challenge this Ordinance. In addition to the Plaintiff that filed this case, two other plaintiff groups filed related litigation challenging the Ordinance. Facing an army of plaintiffs' attorneys, the City is left on its own to defend the multiple challenges in all of these cases at a time when the consequences of COVID-19 have just begun to greatly stress municipal finances. The City acted in the public interest when it passed the Ordinance to protect its citizens from the harms of coal and petcoke dust, but this action offers no guarantee that, under present circumstances, it can or will fully represent Proposed Intervenors and community members in a way that effectively responds to the massive industry representation assembled by the numerous plaintiffs. The City's budget challenges are likely to cause the City's priorities to further differ from those of Proposed Intervenors. Accordingly, Proposed Intervenors have made the requisite showing that the City may not adequately represent their interests.

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#### **Proposed Intervenors' interests relate directly to their own** (2) health and are thus more personal than the City's interests.

The second significant way in which Proposed Intervenors' interests diverge from the City's interests is that Proposed Intervenors and their members have a significant and deeply personal stake

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in upholding the Ordinance. Proposed Intervenors' members and community members live, work, and recreate near the Terminal and, as a result, are regularly exposed to coal and petcoke dust. Exposure to any level of coal and petcoke dust can cause serious health impacts including respiratory illnesses, cancer, and even death. Because this litigation will determine whether coal and petcoke storage and handing in Richmond are phased out, its outcome will directly impact the health of Proposed Intervenors' members and other community members. While the health of community members may be a key consideration for the City as well, the intensity of the health interests held by the City differ markedly from those of Proposed Intervenors. It is the physical health of these individual members—not that of the City—that coal and petcoke dust impact and put at risk. Thus, the City does not have the same deeply held personal health interests in the outcome of this litigation as community members themselves do.

At least one court has found that this type of personal health interest in the outcome of litigation is enough to overcome the presumption that a government entity defending an ordinance will adequately represent the interests of proposed intervenors. In *Syngenta Seeds, Inc. v. Cty. of Kauai*, the court granted intervention to community and public interest groups with personal health interests in defending an ordinance that required disclosures related to the application of restricted-use pesticides. No. Civ. 14-00014BMK, 2014 WL 1631830 (D. Haw. Apr. 23, 2014). In that case, the proposed intervenors lived and worked in close proximity to plaintiffs' agricultural operations and argued that the challenged ordinance would eliminate or decrease their exposure to harmful restricted-use pesticides. *Id.* at \*4. The court acknowledged that proposed intervenors were directly affected by the activities of plaintiffs that the ordinance would regulate. *Id.* at \*7. In finding that the county would not adequately represent the proposed intervenors' interests, the court noted that the county's public health concerns were tempered by the need to balance regulation with economic and political considerations. *Id.* at \*8. The court found that proposed intervenors' "interests in upholding the law are decidedly more palpable than the County's generalized interest." *Id.* at \*7. This case is similar in all relevant aspects, and this Court should reach the same conclusion here.

Additionally, the Ninth Circuit has found that a government entity may not be able to
adequately represent a proposed intervenor who has a more personal stake in the outcome of the

|| litigation than the government. In *Californians for Safe and Competitive Dump Truck* 

*Transportation v. Mendonca*, the Ninth Circuit considered whether the state adequately represented the interests of union truck drivers in a case challenging California's Prevailing Wage Law, which mandated increased wages for truck drivers. 152 F.3d 1184 (9th Cir. 1998). The court held that, even though the state defended the law, the union truck drivers overcame the presumption of adequate representation by the government because their interests were "potentially more narrow and parochial than the interests of the public at large . . . ." *Id.* at 1190.

## (3) **Proposed Intervenors' interests in protecting air and water are long-standing and mission-driven.**

The third significant way in which Proposed Intervenors' interests diverge from the City's interests is that Proposed Intervenors are organizations dedicated to protecting air and water quality. Proposed Intervenors have worked to protect air and water quality for decades. Because of Proposed Intervenors' backgrounds and missions, their interest in protecting public health from pollution harms greatly exceeds the City's interest. Proposed Intervenors more rigorously and comprehensively seek and enforce air and water quality standards. *See* Berbeco Decl. ¶¶ 2-3; Choksi-Chugh Decl. ¶¶ 23-28. In many instances the City has taken a weaker stance than Proposed Intervenors with regard to pollution and its impacts on public health. Indeed, in at least one case, Proposed Intervenor Baykeeper was forced to sue the City to protect the public from harms caused by spilled sewage and broken or outdated sewer lines. Choksi-Chugh Decl. ¶¶ 23, 27.

# b. Because of their uniquely situated position, Proposed Intervenors will provide necessary elements the City cannot.

Finally, the City cannot adequately represent Proposed Intervenors because Proposed Intervenors will provide "necessary elements to the proceeding that other parties would neglect." *Arakaki*, 324 F.3d at 1086. This factor also weighs heavily in favor of permitting intervention in this case. Proposed Intervenors will bring the voices of community members, those who are most directly impacted from the harms of fugitive coal and petcoke dust, something that would be missing from this litigation without their participation. Proposed Intervenors met with and worked alongside community members in Richmond to encourage the City to protect them from the harms of coal and petcoke dust and to support the Ordinance, and consequently have deep familiarity with the concerns

of those community members. Berbeco Decl. ¶¶ 5-6, 8; Choksi-Chugh Decl. ¶¶ 13, 15. Without Proposed Intervenors' participation in this litigation, the Court will only hear from coal and petcoke industry interests and municipal interests. Proposed Intervenors' participation is necessary to ensure that the interests of those who live and work in Richmond and are most impacted by the Ordinance are adequately represented.

All of the reasons discussed constitute a compelling showing that the City may not adequately represent Proposed Intervenors' interests, and Proposed Intervenors have overcome any presumption to the contrary. Accordingly, each of the four requirements under Rule 24(a)(2) is satisfied and the Court should grant Proposed Intervenors intervention as of right.

## **B.** Alternatively, the Court should grant permissive intervention.

Proposed Intervenors also satisfy the requirements for permissive intervention under Rule 24(b). Permissive intervention is appropriate when (1) a movant files a timely motion; (2) the prospective intervenor has a claim or defense that shares a common question of law or fact with the main action; and (3) intervention will not unduly delay or prejudice existing parties. Fed. R. Civ. P. 24(b)(1), (b)(3).<sup>2</sup>

Proposed Intervenors easily meet the three-part test for intervention. As discussed above, this motion is timely. Additionally, Proposed Intervenors intend to defend the Ordinance on each of the claims raised in Plaintiff's complaint, and thus its defenses share common questions of law with the main action. *See Kootenai Tribe of Idaho v. Veneman*, 313 F.3d 1094, 1110–11 (9th Cir. 2002) (conservation groups met test for permissive intervention where they asserted defenses "directly responsive" to plaintiffs' complaint), *abrogated on other grounds by Wilderness Soc'y*, 630 F.3d 1179. Furthermore, Proposed Intervenors' intervention will not cause delay or prejudice the existing parties. Proposed Intervenors do not intend to duplicate the City's efforts. For example, Proposed Intervenors' motion to dismiss only raises arguments which have not been addressed by the City or

<sup>2</sup> Permissive intervention also requires independent grounds for jurisdiction. *Freedom from Religion Found., Inc. v. Geithner*, 644 F.3d 836, 843 (9th Cir. 2011). In a federal question case like this one where Proposed Intervenors raise no new claims, this requirement is met. *See id.* at 844.

for which Intervenors provide a different perspective.<sup>3</sup> Additionally, Proposed Intervenors will work within the confines of the schedule set by the Court and the parties and not delay the resolution of any matters.

Finally, there are significant equitable reasons for the Court to exercise its discretion to allow permissive intervention here. An appropriate inquiry for a court considering permissive intervention is "whether parties seeking intervention will significantly contribute to full development of the underlying factual issues in the suit and to the just and equitable adjudication of the legal questions presented." Spangler v. Pasadena City Bd. of Ed., 552 F.2d 1326, 1329 (9th Cir. 1977). As discussed above, the outcome of this litigation will directly impact the health of Proposed Intervenors' members. Proposed Intervenors and their member have been fighting for years to protect themselves and other community members from the harms of coal and petcoke emissions from the Terminal, including by advocating for and supporting the Ordinance. Now that the Ordinance they championed is being challenged, they deserve to be able to defend it. Without Proposed Intervenors' participation in this litigation, community members, the vast majority of which lack the resources to intervene on their own behalf, will not receive their day in court and will be unable to defend the Ordinance and its important protective measures that they fought so hard and for many years to get. They will not be able to protect their own health. Accordingly, Proposed Intervenors submit the equities and access to justice issues here at a minimum should allow those directly impacted by this Ordinance from a health standpoint to participate in this litigation.

Proposed Intervenors also should be allowed to participate in the interests of equity to correct the highly imbalanced resource and power dynamics currently present in this litigation. As discussed above, Plaintiff in this case and the plaintiffs in two related cases challenging the Ordinance—coal, petcoke, and related companies—have overwhelming resources and a large team of attorneys from three law firms to represent them. In contrast, without Proposed Intervenors' participation, the City will be forced to defend the Ordinance on its own while facing a severe budget deficit that is likely

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<sup>&</sup>lt;sup>3</sup> For example, with regard to Plaintiff's Contracts Clause claim, Proposed Intervenors explain that Plaintiff cannot show that the Ordinance substantially impairs its contracts because the petcoke industry is heavily regulated. This is an argument the City does not discuss.

1 to get worse due to the consequences of COVID-19. Thus, not only will Proposed Intervenors bring 2 the community voice and perspective to this litigation, they will also help ensure that adequate 3 resources are available to defend the Ordinance-an assurance unquestionably in the interest of 4 justice.

5 In balancing the equities, Proposed Intervenors submit that, at a minimum, they should be 6 able to join this litigation as permissive intervenors to ensure their interests are adequately 7 represented.

V. CONCLUSION

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For the foregoing reasons, Proposed Intervenors Sierra Club and San Francisco Baykeeper have satisfied the requirements for intervention as a matter of right under Rule 24(a), and alternatively, permissive intervention under Rule 24(b). Proposed Intervenors therefore respectfully request that the Court grant this motion to intervene.

Proposed Intervenors also request that if intervention is granted, the Court accept Proposed Intervenors' concurrently lodged Rule 12(b)(6) Motion to Dismiss.

DATED: May 28, 2020

Respectfully submitted,

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